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DEPARTMENT OF
TRANSPORTATION
AIRCRAFT OPERATIONS

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October 22, 2014

U.S. Department of Transportation
Docket Management System
1200 New Jersey Ave., SE
Washington, DC 20590

Re: Exemption Request Section 333 of the FAA Reform Act and Part 11 of the Federal Aviation Regulations from 14 C.F.R. 45.23(b); 14 CFR Part 21; 14 CFR 61.113 (a) & (b); 91.7 (a); 91.9(b) (2); 91.103 (b); 91.109; 91.119; 91.121; 91.151 (a); 91.203(a) & (b); 91.407(a) (1); 91.409 (a) (2); 91.417 (a) & (b)

Dear Sir or Madam:

Pursuant to Section 333 of the FAA Modernization and Reform Act of 2012 (the Reform Act) and 14 C.F.R. Part 11, Viking Unmanned Aerial Systems Inc, Manufacture and operator of small Tethered Aerial Platform ("TAP") equipped to conduct aerial photography and 3d mapping for the Agricultural Industry to help Farmers in the US get higher yield on their products, Tower and Pipeline Inspection, Realist ate photography and Movie and News video gathering, hereby applies for an exemption from the listed Federal Aviation Regulations ("FARs") to allow commercial operation for its" TAP", so long as such operations are conducted within and under the conditions outlined herein or as may be established by the FAA as required by Section 333.

As described more fully below, the requested exemption would permit the operation of a small Tethered Aerial Platform ("TAP") and relatively inexpensive TAP under controlled conditions in airspace that is 1) limited 2) predetermined 3) controlled as to access and 4) would provide safety enhancements to the already safe operations in the industry presently using conventional aircraft. Approval of this exemption would thereby enhance safety and fulfill the Secretary of Transportation's (the FAA Administrator's) responsibilities to "...establish requirements for the safe operation of such aircraft systems in the national airspace system."

The name and address of the applicant is:

Viking Unmanned Aerial Systems Inc
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The conditions proposed by the applicant are drawn from order 8900.1 CHG 0

Regulations from which the exemption is required

14 CFR Part 21
14 C.F.R. 45.23(b)
14 CFR 61.113 (a) & (b)
14 C.F.R. 91.7 (a)
14 CFR 91.9 (b) (2)
14 C.F.R. 91.103
14 C.F.R. 91.109
14 C.F.R. 91.119
14 C.F.R. 91.121
14 CFR 91.151 (a)
14 CFR 91.203 (a) & (b)
14 CFR 91.405 (a)
14 CFR 407 (a) (1)
14 CFR 409 (a) (2)
14 CFR 417 (a) & (b)

This exemption application is expressly submitted to fulfill Congress' goal in passing Section 333(a) through (c) of the Reform Act. This law directs the Secretary of Transportation to consider whether certain unmanned aircraft systems may operate safely in the national airspace system (NAS) before completion of the rulemaking required under Section 332 of the Reform Act. In making this determination, the Secretary is required to determine which types of TAPs do not create a hazard to users of the NAS or the public or pose a threat to national security in light of the following:

- The TAP's size, weight, speed, and operational capability
- Operation of the TAP in close proximity to airports and populated areas; and
- Operation of the TAP within limits of the tether and the operator.

Reform Act Section 333 (a). Lastly, if the Secretary determines that such operations "may operate safely in the national airspace system, the Secretary shall establish requirements for the safe operation of such aircraft in the national airspace system." ID Section 333(c) (emphasis added)

The Federal Aviation Act expressly grants the FAA the authority to issue exemptions. This statutory authority by its terms includes exempting civil aircraft, as the term is defined under section 40101 of the Act that includes TAPs, from the requirement that civil aircraft must have a current airworthiness certificate.

Applicant interprets this provision to place the duty on the Administrator not only process applicants for exemptions under section 333, but for the administrator to craft conditions for the safe operation of the TAP, if it should be determined that the conditions set forth herein do not fulfill the statutory requirements for approval.

The Administrator may grant an exemption from a requirement of a regulation prescribed under subsection (a) or (b) of this section or any sections 44702-44716 of this title if the Administrator finds the exemption in the public interest. 49 U.S.C. Section 44701(f) *see also* 49 USC Section 44711(a); 49 USC Section 44704; 14 CFR Section 91.203 (a) (1).

Viking Unmanned Aerial System Inc – Agricultural Aerial Services Division TAPs rotorcraft, weighing 55 or fewer lbs. including payload. They operate, under normal conditions at a speed of no more than 70 knots and in the case of our multirotors have a capability to hover, and move in the vertical and horizontal plane simultaneously. They will operate in a Safety Circular and will operate only within the sterile area made up of farm land, power and utility grid and Video area.

Given the small size of the TAPs involved and restricted sterile environment within which they will operate, the applicant falls squarely within that zone of safety (an equivalent level of safety) in which Congress envisioned that the FAA must, by exemption, allow commercial operations of TAPs to commence immediately. Also due to the size of the TAPs and the restricted areas in which the relevant TAPs will operate, approval of the application presents no national security issue. Given the clear direction in Section 333 of the Reform Act, the authority contained in the Federal Aviation Act, as amended; the strong equivalent level of safety surrounding the proposed operations, and the significant public benefit, including enhanced safety, reduction in environmental impacts, including reduced emissions exemptions associated with allowing TAPs for movie and television operations, the grant of the requested exemptions is in the public interest. Accordingly, the applicant respectfully requests that the FAA grant the requested exemption without delay.

AIRCRAFT AND EQUIVELANT LEVEL OF SAFETY

The applicant proposes that the exemption requested herein apply to the TAP that have the characteristics and that operate within the limitations listed herein. These limitations provide for at least an equivalent or even higher level of safety to operations under the current regulatory structure because the proposed operations represent a safety enhancement to the already safe agricultural services and crops dusted currently being used with conventional aircraft.

1. The TAPs will weigh less than 55 lbs.
2. Flights will be operated within line of sight and length of the tether, of an operator and/or observing.
3. Maximum total flight time for each operational flight will be 30 minutes for multirotor. Flights will be terminated at 25% battery power reserve should that occur prior to the 30 minute limit.
4. Flights will be operational will be operated at an altitude of no more than 1,000 feet AGL or 5 feet above the structure.
5. Minimum crew for each operation will consist of the TAP Operator, and Visual Observer.
6. TAP operators will be an certified by the aircraft manufacture for operation sand have No Medical required. The observer will hold at least manufactures ground school certificate. No medical required
7. TAP Operators will be pilot in command (PIC). If a pilot certificate holder other than the sUAS Pilot, who possess the necessary PIC qualifications, is also present on set (i.e. the aerial coordinator), that person can also be designated as PIC
8. The TAP will only operate within a confined "sterile area" as defined in the manual. It requires the establishment of a "Security Perimeter" for the flight operations area.
9. A briefing will be conducted in regard to the planned TAP operations prior to each day's production activities. It will be mandatory that all personal who will be performing duties within boundaries of the safety perimeter be present for this briefing.
10. The operator will fly a FAA Form 7711-1, or its equivalent, as modified in light of the requested exemption, with the appropriate Air Traffic Organization.
11. The operator will obtain the consent of all persons involved in the filming and ensure that only consenting persons be allowed within 100 feet of the flight operation, and this radius may be reduced to 30 feet based upon an equivalent level of safety and determination, as required by the Manual. With the advanced permission of the FSDO, operations at closer range can be approved.
12. The operator will submit a written Plan of Activities to the ATO three days before the proposed shoot as required.
13. Operator and observer will have been trained in the operation of TAP generally and received up-to-date information on the particular TAO to be operated Observer and Operator will at all times be able to communicate by voice or text.
14. Written and/or oral permission from the relevant property holders will be obtained.
15. All required permissions and permits will be obtained from territorial, state, county, or city jurisdictions, including local law enforcement, fire, or other appropriate government agencies.
16. If the TAP losses communications or it losses its GPS signal, the TAP will have capability to return to a pre-determined location within the Security Perimeter and land.
17. The TAP will have capability to abort flight in case of unpredictable obstacles or emergencies.

14 C.F.R. Part 21, Subpart H: Air worthiness certificates 14 C.F.R. Section 91.203 (a) (1)

Subpart H, entitled Airworthiness Certificates, establishes the procedural requirements for the issuance of airworthiness certificates as required by FAR Section 91.203 (a) (1). Given the size and limited operating area associated with aircraft to be utilized by the Applicant, an exemption from Part 21 Subpart H meets the requirements of an equivalent level of safety under Part 11 and Section 333 of the Reform Act both authorize the FAA to exempt aircraft from the requirement for an airworthiness certificate, upon consideration of the size, weight, speed, operational capability, proximity to airports and populated areas of the particular TAP. In all cases, an analysis of these criteria demonstrates that the TAP operated without an airworthiness certificate, in a restricted environment and under the conditions proposed will be at least as safe, or safer, than conventional aircraft (fixed wing or rotorcraft) operating with an airworthiness certificate without the restrictions and conditions proposed.

The TAP to be operated here under is less than 55 lbs. fully loaded, carries neither a pilot nor passenger, carries no explosive materials or flammable materials liquid fuels, and operates exclusively within a secured area as set out in manual. Unlike other civil aircraft, operations under this exemption will be tightly controlled and monitored by both the operator and, pursuant to the manual's requirements, and under the requirements and in compliance to local public safety requirements, to provide security of the area of operation as is now done with conventional filming. The FAA will have advance notice of all operations. These safety enhancements, which already apply to civil aircraft operated in connection to motion picture and television production, provide a greater degree of safety to public and property owners than conventional operations conducted with airworthiness certificates issued under 14 C.F.R. Part 21, Subpart H. Lastly, application of these same criteria demonstrates that there is no credible threat to national security posed by the TAP, due to its size, speed of operation, location of operation, lack of explosive materials or flammable liquid fuels, and inability to carry a substantial external load. In addition Viking Unmanned Aerial Systems Inc wants to be able to transfer all associated paperwork such as this Section 333 Airworthiness Certificate for TAP to customers which purchase our systems for operations defined in this document.

14 C.F.R. Section 45.23 (b). Marking of the aircraft

The regulation requires:

When marks include only the Roman capital letter "N" and the registration of the number is displayed on limited, restricted or in a light-sport category aircraft or experimental or provisionally certified aircraft, the operator must also display on the aircraft near each entrance to the cabin, cockpit, or pilot station, in letters not less than 2 inches nor more than 6 inches high, the words "limited," "restricted," "light spot," "experimental," or "provisional," as applicable.

Even though the TAP will have no airworthiness certificate, and exemption may be needed as the TAP will have no entrance to the cabin, cockpit or pilot station on which the word "experimental" can be placed. Given the size of the TAP, the two inch lettering will be impossible. The word "experimental" will be placed on the fuselage in compliance with §45.29 (f).

The equivalent level of safety will be provided by having the TAP marked on its fuselage as required by §45.29 (f) where the operator, observer and others working with the TAP will see the identification of the TAP as "Experimental." The FAA has issued the following exemptions to this regulation to exemptions to this regulation to Exemptions Nos. 10700, 8738, 10167A.

14 C.F.R. § 61.113 (a) & (b): Private pilots Privileges and Limitations: Pilot in Command.

Sections 61.113 (a) & (b) limit pilots to non-commercial operations. Because the TAP will not carry a pilot or passengers and be tethered to the ground, the proposed operations can achieve the equivalent level of safety of current operations by requiring the PIC operating the aircraft to have Factor Training, rather than have than a commercial pilots license to operate this small TAP. Unlike a conventional aircraft that carries a pilot and passengers, the TAP is remotely controlled with no living thing onboard. The area of operation is controlled and restricted, and all flights are planned and coordinated in advance. The level of safety provided by the requirements included in the manual exceeds that provided by a single individual holding a commercial pilot's certificate operating a conventional aircraft.. The risks associated with the operation of the TAPS are so diminished from the level of risk associated with the operation of a commercial operations contemplated with Part 61 when drafted, that allowing operations of the TAP as requested with a Factory Trained Operator as the PIC exceeds the present level of safety achieved by 14 C.F.R. §61,113 (a) & (b).

14 C.F.R. §91.7 (a): Civil Aircraft Airworthiness.

The regulation requires that no person may operate a civil aircraft unless it is in airworthiness condition. As there will be no airworthiness certificate issued for the aircraft, should this should this exemption be granted, no FAA regulatory standard will exist for determining airworthiness. Given the size of the aircraft and the requirements contained in the manual for maintenance and use of safety check lists prior to each flight, as set forth in the manual and equivalent level of safety will be provided.

14 C.F.R. § 91.9 (b) (2): Civil Aircraft Flight Manual in the Aircraft

Section 91.9 (b) (2) provides:

No person may operate a U.S.-registered civil aircraft...

- (2) For which an Airplane or Rotorcraft Flight Manual is not required by §21.5 of this chapter, unless there is available in the aircraft a current approved airplane or Rotorcraft Flight Manual, approved manual material, markings, and placard, or any combination thereof.

The TAP, given its size and configuration has no ability or place to carry such a flight manual on the aircraft, not only because there is no pilot onboard, but because there is no room or capacity to carry such an item on the aircraft.

The equivalent level of safety will be maintained by keeping the flight manual at the ground control point where the operator flying the TAP will have access to it. The FAA has issued the following exemptions to this regulation: Exemption Nos. 8607, 8737, 8738, 9299, 9299A, 9565, 9565B, 10167, 10602, 32827, and 10700.

14 C.F.R. § 91.103: Preflight action

This regulation requires each pilot in command to take certain actions before flight to insure the safety of the flight. As the FAA approved rotor craft flight manuals will not be provided as set

forth in the manual. The OIC will take all actions including reviewing weather, flight battery requirements, landing and takeoff distances and aircraft performance data before initiation of flight.

14 C.F.R. §91.109: Flight instruction

Section 91.103 provides that no person may operate a civil aircraft (except a manned free balloon) that is being used for flight instruction unless that aircraft has fully functioning dual controls.

TAPs and remotely controlled aircraft, by their design do not have fully functioning dual controls. Flight control is accomplished through the use of a control box that communicates with the aircraft via radio communications. The FAA has approved exemptions for flight training without fully functioning dual controls for a number of aircraft and for flight instruction in experimental aircraft. See exemption Nos. 5778K & 9862A. The equivalent level of safety provided by that neither a pilot nor passengers will be carried in the aircraft and by the size and speed of the aircraft.

14 C.F.R. §91.119: Minimum safe altitudes

Section 91.119 establishes safe altitudes for operations of civil aircraft. Section 91.119 (d) allows helicopters to be operated at less than the minimums prescribed provided the person operating the helicopter complies with any route or altitudes prescribed for helicopters by the FAA. As exemption is for the TAP that is a helicopter and the exemption requests authority to operate at altitudes of up to 400 AGL, or not more than 200 above an elevated platform from which filming is planned, an exemption is may be needed to allow such operations. As set forth by herein, except for the limited conditions stated in the Manual, the TAP will never operate higher than 400 AGL. It will however be operated in a restricted area with a security perimeter, where buildings and people will not be exposed to operations without their pre-obtained consent.

The equivalent level of safety will be achieved given the size, weight, speed of the TAP as well as the location where it is operated. No flight will be taken without the permission of the property owner or local officials. Because of the advance notice to the property owner and participants in the filming activity, all affected individuals will be aware of the planned flight operations as set forth in the Manual. Compared to flight operations with aircraft or rotorcraft weighing more far more than the maximum 55lbs. proposed herein and the lack of flammable fuel, any risk of associated with these operations is far less than those presently presented with conventional aircraft operating at or below 500 AGL in the filming industry. In addition, the low-altitude operations of the TAP will ensure separation between these small TAP operations and the operations of conventional of conventional aircraft that must comply with Section 91.119.

14 C.F.R. §91.121 Altimeter Settings

This regulation requires each person operating an aircraft to maintain cruising altitude by reference to a altimeter that is set "...to the elevation of the departure airport or an appropriate altimeter setting available before departure." As the TAP may not have a barometric altimeter, but instead a GPS altitude read out, an exemption may be needed. An equivalent level of safety will be achieved by the operator, pursuant to the Manual and Safety Check list, confirming the altitude of the launch site shown on the GPS altitude indicator before flight.

14 C.F.R. §91.151(a): Fuel Requirements for Flight in VFR Conditions

Section 91.151 (a) prohibits and individual from beginning "a flight in an airplane under VFR conditions unless (considering wind and forecast weather conditions) there is enough fuel to fly to the

first point of intended landing, and assuming normal cruising speed – (1) During the day, to fly after that for at least 30 minutes; or (2) At night, to fly after that for at least 45 minutes.”

The battery powering the TAP provides approximately 60 minutes of powered flight. To meet the 30 minute reserve requirement in 14 CFR §91.151, TAP flights would be limited to approximately 30 minutes in length. Given the limitations on the TAP’s proposed flight area and the location of its proposed operations within a predetermined area, a longer time frame for flight in daylight or night VFR conditions is reasonable.

Applicant believes that an exemption from 14 CFR (a) falls within the scope of prior exemptions. See Exemption 10673 (allowing Lockheed Martin Corporation to operate without compliance with FAR 91.151(a)). Operating the small TAP, in a tightly controlled area where only people and property owners or official representatives who have signed waivers will be allowed, with less than 30 minutes of reserve fuel, does not engender the type of risk that Section 91.151(a) was intended to alleviate given the size speed of the small TAP. Additionally, limiting TAP flights to 30 minutes would greatly reduce the utility for which the exemption will be granted.

Applicant believes that an equivalent level of safety can be achieved by limiting flights to 30 minutes or 25% of battery power whichever happens first. This restriction would be more than adequate to return the TAP to its landing zone from anywhere in its limited operating area.

Similar exemptions have been granted to other operations, including Exemptions 2689F, 5745, 10673, and 10808.

14 C.F.R. §91.203 (a) and (b): Carrying Civil Aircraft Certification and Registration

The regulation provides in pertinent part:

- (a) Except as provided in §91.715, no person may operate a civil aircraft unless it has within it the following:
 - (1) An appropriate and current airworthiness certificate...
- (b) No person may operate a civil aircraft unless the airworthiness certificate required by paragraph (a) of this section or a special flight authorization issued under §91.715 is displayed at the cockpit entrance so that it is legible to passengers or crew.

The TAP fully loaded weighs no more than 55lbs and is operated without an onboard pilot. As such, there is no ability or place to carry certification and registration documents or display them on the TAP.

An equivalent level of safety will be achieved by keeping these documents at the ground control point where the pilot flying the TAP will have immediate access to them, to the extent they are applicable to the TAP. The FAA has issued numerous exemptions to this regulation. A representative sample of other exemptions includes Exemption Nos. 9565, 9665, 9789, 9789A, 9797, 9816A, 10700.

14 C.F.R. §91.405 (a); 407 (a) (2); 417 (a) & (b)

These regulations require that an aircraft operator or owner “shall have that aircraft inspected as prescribed in subpart E of this part and shall between required inspections, except as provided in paragraph (c) of this section, have discrepancies repaired as prescribed in part 43 of this chapter...” and others shall inspect or maintain the aircraft in compliance with part 43.

Given that these section and Part 43 apply only to aircraft with an airworthiness certificate, these sections will not apply to the application. Maintenance will be accomplished by the operator pursuant to the flight manual and operating handbook as referenced in the Manual. An equivalent level of safety will be achieved because these small TAP are very limited in size and will carry a small payload and operate only in restricted areas for limited periods of time. If mechanical issues arise the TAPs can land immediately and will be operating from no higher than 400 feet AGL. As provided in the Manual, the operator will ensure that the TAP is in working order prior to initiating flight, perform required maintenance, and keep a log of any maintenance preformed. Moreover, the operator is the person most familiar with the aircraft and best suited to maintain the aircraft in an airworthy condition to provide the equivalent level of safety.

Pursuant to 14 C.F.R Part 11, the following summary is provided for publication in the Federal Register, should it be determined that publication is needed:

Applicant seeks an exemption from the following rules:

14 C.F.R. §21, subpart H; 14 C.F.R 45.23 (b); 14 C.F.R. §§ 61.113 (a) & (b); 91.7 (a); 91.9 (b) (2); 91.103 (b); 91.109; 91.119; 91.121; 91.151 (a); 91.203 (a) and (b); 91.405 (a); 91.407 (a) (1); 91.409 (a) (2) and 91.417 (a) & (b) to operate commercially a small unmanned vehicle (55lbs or less) in the Agricultural 3d Mapping Service Operations.

Approval of exemptions allowing commercial operations of TAPs in the Agricultural 3d mapping/scanning industry and filming will enhance safety by reducing risk. Conventional operations using jet or piston aircraft, operate at extremely low altitudes just feet from the equipment and livestock and structures; and present the risks associated with vehicles that weigh in the neighborhood of 3,000-5,000 lbs., carrying large amounts of jet A or other fuel in most cases helicopters operating with 140 gallon fuel tanks. The TAP will carry no passengers or crew and, therefore, will not expose them to the risks associated with manned aircraft flights.

The operations of small TAPs, weighing less than 55 lbs., conducted in the strict conditions outlined above, will provide an equivalent level of safety supporting the grant of the Part 21 and allowing commercial operations. These lightweight TAPs operate at slow speeds, close to the ground and in sterile environment and tethered, as a result, are far safer than conventional operations conducted with a piston and or turbine aircraft operating close to the ground.

Public Acknowledgement/Privacy

All Flights will occur only once the property owner has authorized operations to be conducted.

Satisfaction of the criteria provided in Section 333 of the Reform Act of 2012—size, weight, speed, operating capabilities, proximity to populated areas and or close proximity to airports and operations within visual line of sight provides more than adequate justification for the grant of the requested exemptions allowing commercial operation of applicant's TAP in the agricultural scanning services and filming industry.

Sincerely,
Christopher M. Taylor
Viking Unmanned Aerial Systems Inc

 10/23/2014